MERITAGE FINANCIAL STRATEGIES

a balanced approach to financial health

CHECKLIST

ITEMS TO BRING TO YOUR FIRST APPOINTMENT

Please provide us with a copy of each of the following documents, as applicable:

PERSONAL RECORDS

- ☐ Federal tax returns (last two years)
- ☐ Current driver's licenses
- ☐ Current wills and trusts
- ☐ Certificates (or details) of stock options and restricted stock grants
- ☐ Policy summary pages for any life, disability income, or long-term care insurance
    - ☐ Most recent life insurance policy owner statements (i.e. current death benefits/cash value)
- ☐ Policy declarations pages for any other insurance policies (auto, home, umbrella, personal articles, etc...)

MOST RECENT ACCOUNT STATEMENTS (OR ONLINE PRINT-OUT THEREOF)

- ☐ Social Security benefits
- ☐ Outstanding debt obligations (mortgage, credit card, promissory notes, etc…)

All banking, investments, and retirement accounts:

- ☐ Checking
- ☐ Savings
- ☐ Brokerage
- ☐ Directly owned stocks/bonds/mutual funds
- ☐ 401(k)
- ☐ 403(b)
- ☐ IRAs
- ☐ Keogh Plans
- ☐ Pension/Deferred compensation plans
- ☐ Deferred or immediate annuities
- ☐ Children's accounts (UGMA, UTMA, 529, etc...)

EMPLOYER PROVIDED BENEFITS

- ☐ A summary statement of your group benefits elections

Summary plan descriptions for:

- ☐ Group Life Insurance
- ☐ Group Disability Income Insurance
- ☐ 401(k)
- ☐ Pension Plan
- ☐ Other Deferred Compensation